

## Work and Heat

- Both  $W$  and  $Q$  measure energy transferred to/from the system, that is a connection was found between the transfer of energy by heat in thermal processes and the transfer of energy by work in mechanical processes
- Although  $Q$  and  $W$  each are dependent on the path,  $Q + W$  is independent of the path. Neither can be determined solely by the end points of a thermodynamic process
- The concept of energy was generalized to include the internal energy
- The *Law of Conservation of Energy* emerged as a universal law of nature (**1st law of thermodynamics**)



# The First Law of Thermodynamics

- The **First Law of Thermodynamics** is a special case of the **Law of Conservation of Energy**
  - It takes into account changes in internal energy and energy transfers by heat and work
- The First Law of Thermodynamics states that

$$\Delta E_{int} = Q + W$$

- One consequence of the first law is that there must exist some quantity known as **internal energy** which is completely determined by the state of the system

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- For infinitesimal changes in a system

$$dE_{int} = dQ + dW$$

- The first law is an energy conservation statement specifying that the only type of energy that changes in a system is the internal energy
- An **isolated system** is one that does not interact with its surroundings
  - No energy transfer by heat takes place
  - The work done on the system is zero
  - $Q = W = 0$ , so that  $\Delta E_{int} = 0$
- The internal energy of an isolated system remains constant

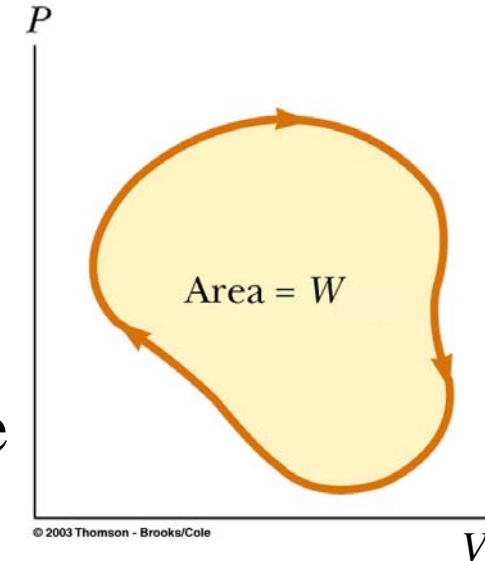
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- Changing the state in different ways

$$(P_1, V_1, T_1) \rightarrow (P_2, V_2, T_2)$$

- $\Delta E_{int}$  depends only on the endpoints (independent of the path), i.e. it is a *state function*
- **Cyclic process:** starts and ends in the same state,  $dE_{int} = 0$
- **Adiabatic process:** no energy enters or leaves the system by heat  $\rightarrow Q = 0$
- **Isobaric process:** occurs at a constant pressure,  $dP = 0$
- **Isovolumetric process:** no change in the volume,  $dV = 0$
- **Isothermal process:** is one that occurs at a constant temperature,  $dT = 0$

# Cyclic Processes

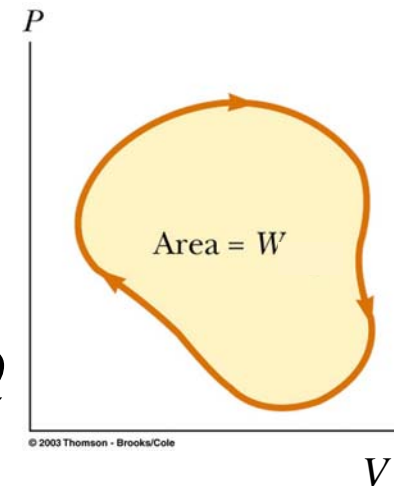
- A **cyclic process** is one that starts and ends in the same state
  - This process would not be isolated
  - On a  $PV$  diagram, a cyclic process appears as a closed curve
- The internal energy must be zero since it is a state function
- If  $\Delta E_{int} = 0$ ,  $Q = -W$
- In a cyclic process, the net work done on the system per cycle equals the area enclosed by the path representing the process on a  $PV$  diagram



- For a cyclic process we have

$$\Delta E_{int} = \oint dE_{int} = \oint dW + \oint dQ$$

- Since  $\Delta E_{int} = 0$  we have  $\oint dW = -\oint dQ$

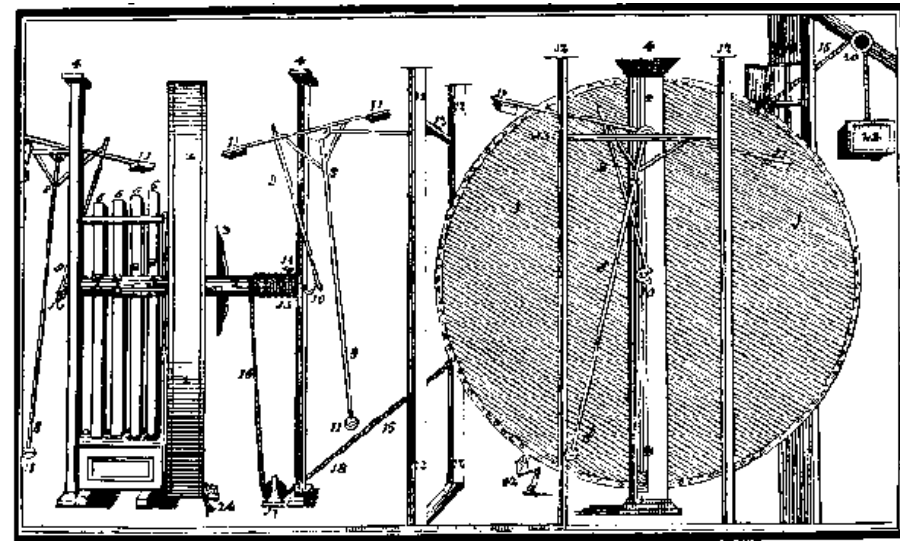
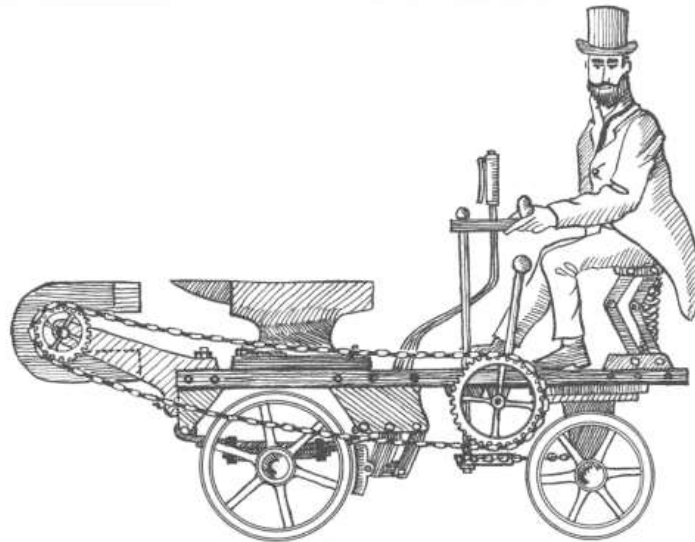
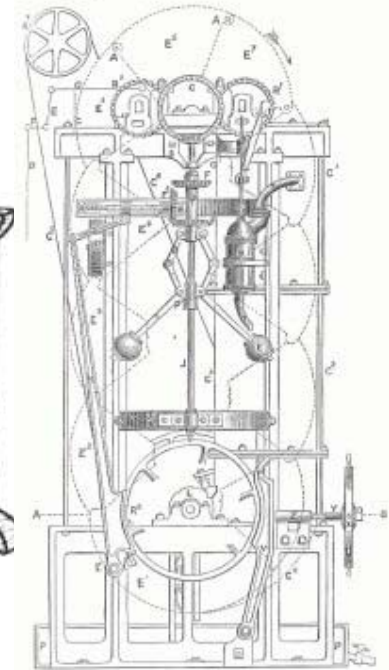
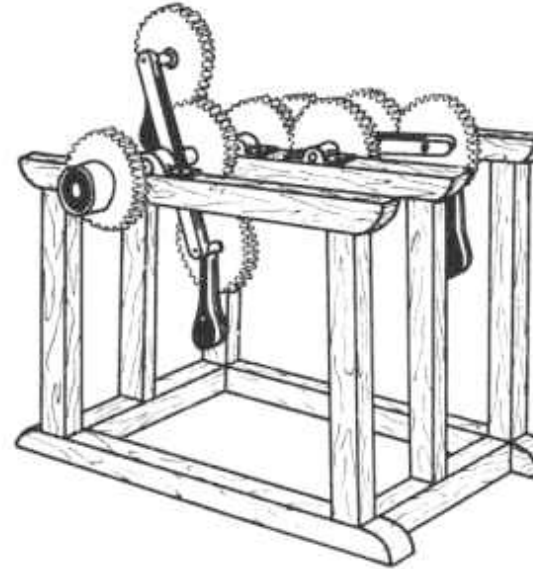
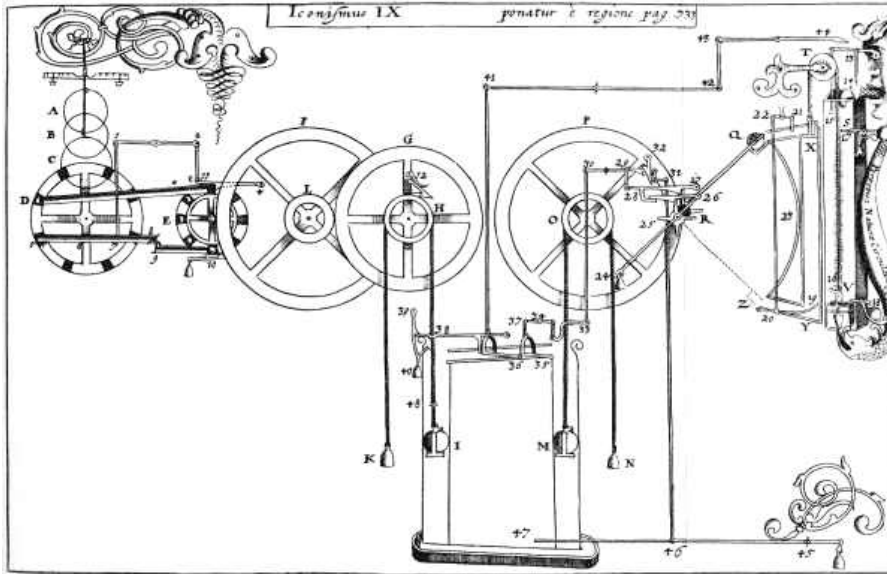


- A **perpetuum mobile of the first kind** is a machine which produces constant work in a cyclic process without adding energy (for example heat). This means

$$\Delta E_{int} = 0, \quad \oint dW > 0 \quad \text{and} \quad \oint dQ = 0$$

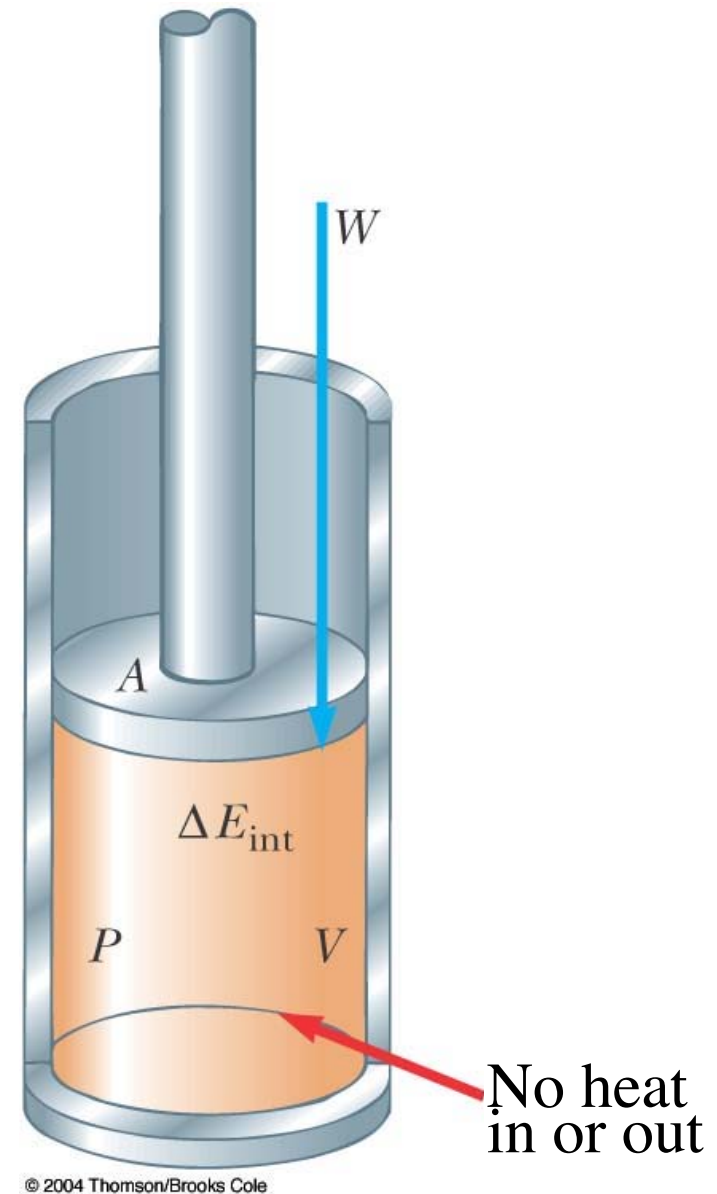
**➔ This violates the first law of thermodynamics**


- People tried since more than 2000 years to build these perpetuum mobile



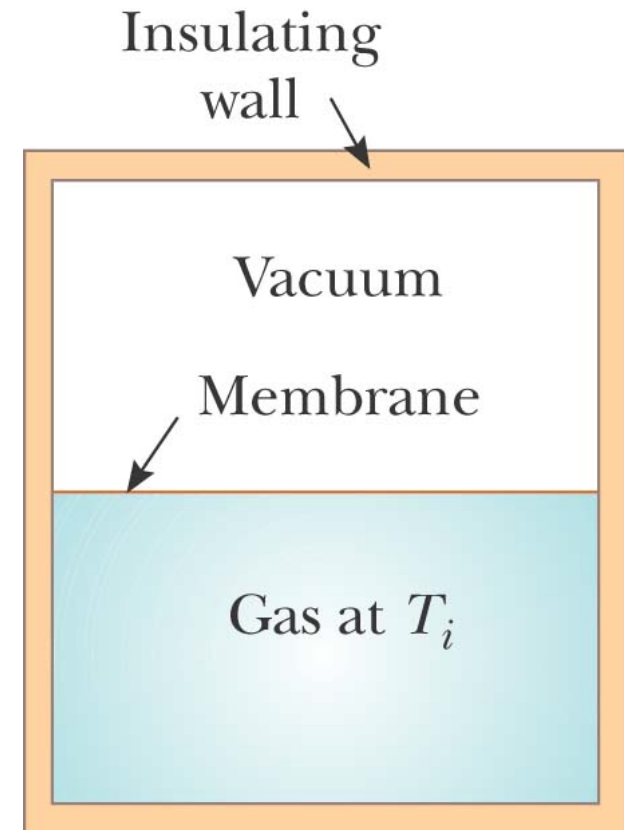
# Adiabatic Process

- An **adiabatic process** is one during which no energy enters or leaves the system by heat
  - $Q = 0$
  - This is achieved by:
    - Thermally insulating the walls of the system
    - Having the process proceed so quickly that no heat can be exchanged



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- Since  $Q = 0$ ,  $\Delta E_{int} = W$
  - If the gas is compressed adiabatically,  $W$  is positive (remember  $\Delta W = -P\Delta V = -P(V_f - V_i)$ ) so  $\Delta E_{int}$  is positive and the temperature of the gas increases
  - If the gas expands adiabatically, the temperature of the gas decreases
  - Some important examples of (almost ideal) adiabatic processes related to engineering are:
    - The expansion of hot gases in an internal combustion engine
    - The liquefaction of gases in a cooling system
    - The compression stroke in a diesel engine

- This is an example of adiabatic free expansion
- The process is adiabatic because it takes place in an insulated container ( $Q = 0$ )
- Because the gas expands into a vacuum, it does not apply a force on a piston and  $W = 0$
- Since  $Q = 0$  and  $W = 0$ ,  $\Delta E_{int} = 0$  and the initial and final states are the same
  - No change in temperature is expected





# Isobaric Process

- An **isobaric process** is one that occurs at a constant pressure
- The values of the heat and the work are generally both nonzero
- The work done is  $W = -P (V_f - V_i)$  where  $P$  is the constant pressure
- The **total differential** for  $E_{int}=f(V,T)$

$$dE_{int} = dQ + dW = CdT - PdV = \left( \frac{\partial E_{int}}{\partial T} \right)_{P,V} dT + \left( \frac{\partial E_{int}}{\partial V} \right)_{P,T} dV$$

- The **heat capacity**:  $C = \frac{\partial E_{int}}{\partial T}$



# Isovolumetric Process

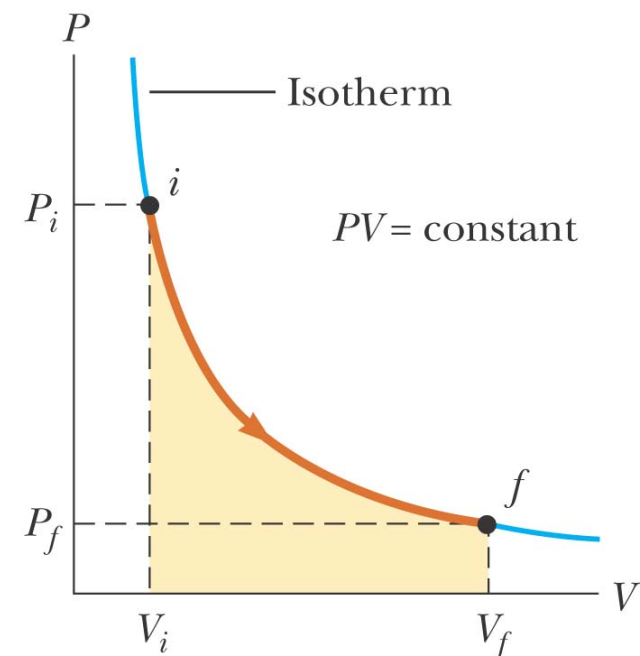
- An **isovolumetric process** (also called isochoric process in older literature) is one in which there is no change in the volume
- Since the volume does not change,  $W = 0$
- From the first law,  $\Delta E_{int} = Q$  or  $dE_{int} = C_V dT$

The **heat capacity at constant volume**:  $C_V = \left( \frac{\partial E_{int}}{\partial T} \right)_V$

- If energy is added in form of heat to a system kept at constant volume, all of the transferred energy remains in the system as an increase in its internal energy

# Isothermal Process

- An **isothermal process** is one that occurs at a constant temperature
- Since there is no change in temperature,  $\Delta E_{int} = 0$
- Therefore,  $Q = -W$
- Any energy that enters the system by heat must leave the system by work
- At right is a  $PV$  diagram of an **isothermal gas expansion**
- The curve is a *hyperbola*
- The curve is called an **isotherm**



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- The curve of the  $PV$  diagram indicates  $PV = \text{constant}$

- The equation of a hyperbola ( $y=c/x$  or  $P=c/V$ )

- Because it is an ideal gas and the process is quasi-static,  $PV = nRT$  and ( $T=\text{const.} \Rightarrow PV=\text{const.}$ )

$$W = -\int_{V_i}^{V_f} P dV = -\int_{V_i}^{V_f} \frac{nRT}{V} dV = -nRT \int_{V_i}^{V_f} \frac{1}{V} dV = nRT \ln(V_i / V_f)$$

- Numerically, the work equals the area under the  $PV$  curve (the shaded area in the diagram)
- If the gas expands,  $V_f > V_i$  and the work done on the gas is negative
- If the gas is compressed,  $V_f < V_i$  and the work done on the gas is positive

## Example 1: Cyclic Process $A \rightarrow B \rightarrow C \rightarrow A$

**Work done** (area)

$$W_{AB} = -P\Delta V = -300 \text{ Pa } 0.4 \text{ m}^3 = -120 \text{ J}$$

(gas expands  $W < 0$ )

$$W_{BC} = 0 \quad (\text{zero area, } \Delta V = 0)$$

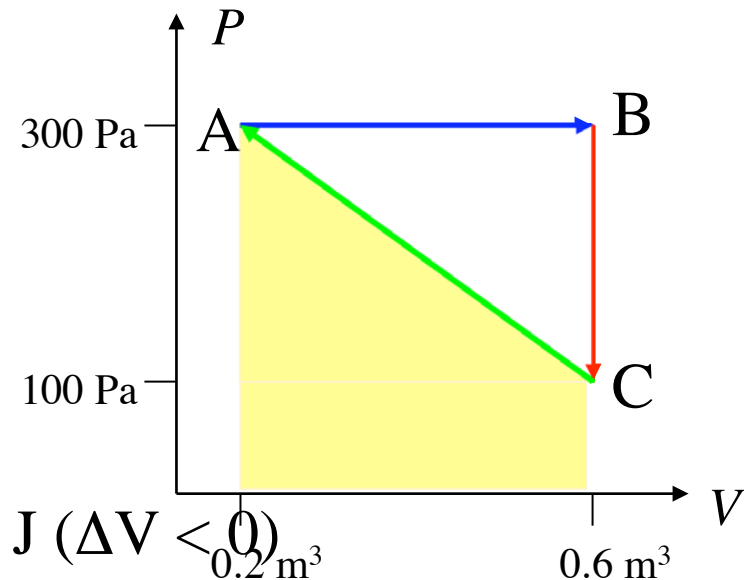
no expansion)

$$W_{CA} = -\frac{1}{2} \times 200 \text{ Pa } (-0.4 \text{ m}^3)$$

$$= -100 \text{ Pa } (-0.4 \text{ m}^3) = +80 \text{ J } (\Delta V < 0)$$

(area of trapezium)

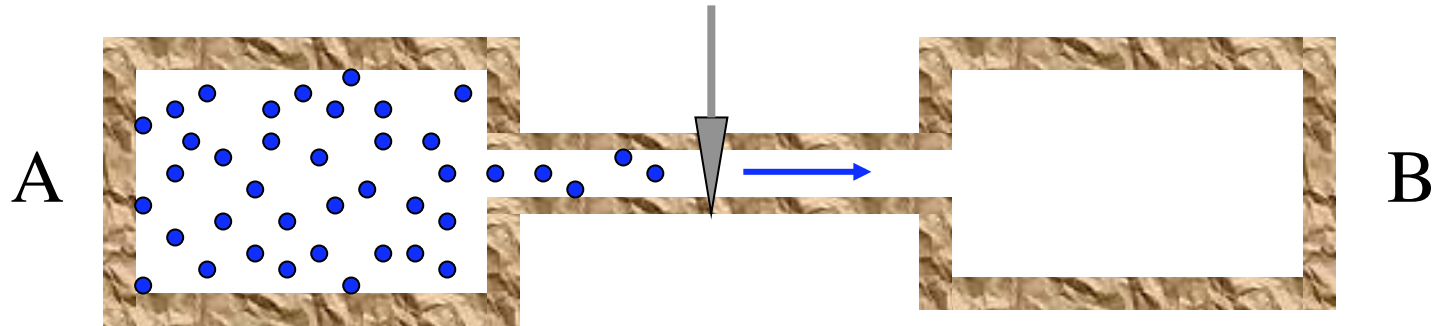
(volume decreases,  $W > 0$ )



**Complete Cycle**  $W = W_{AC} + W_{CB} + W_{BA} = -40 \text{ J}$

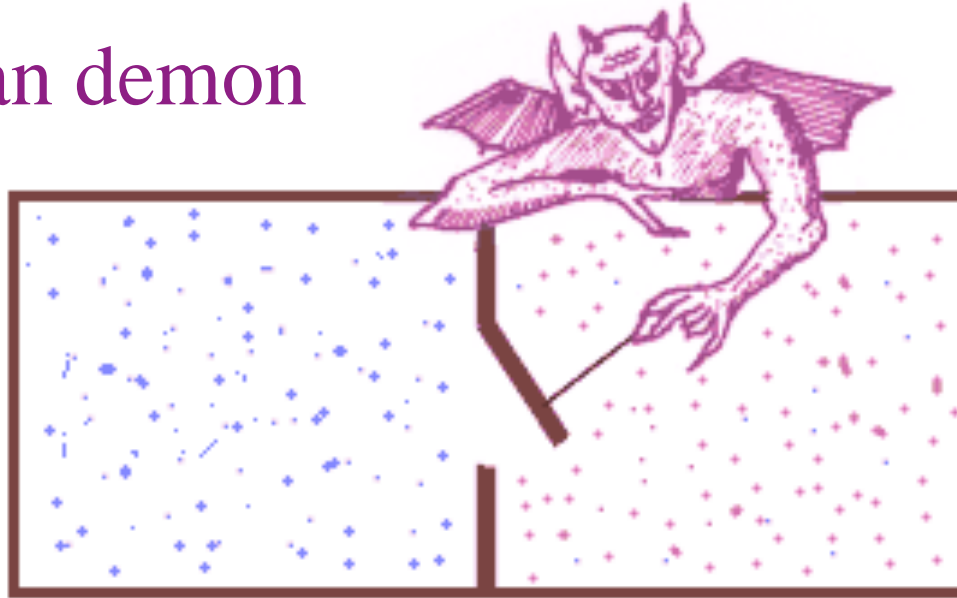
(Reverse cycle  $A \rightarrow C \rightarrow B \rightarrow A$ :  $W = +40 \text{ J}$ )

## Example 2: Sudden expansion of a gas



- This is an **irreversible process** (system is not in equilibrium)
- In contrast to the adiabatic free expansion, gas cools (*Joule-Thomson effect*)
- Gas does not flow back into one container (A or B), hence the process is not reversible
- The pressure varies greatly between different areas
- As a consequence, the  $(p, V)$  diagrams cannot be used to describe such processes

## The Maxwellian demon



- The demon opens the gate only for gas molecules coming from the left.
- Eventually the left gas chamber will be empty.
- The first law does not disallow this machine.
- This is called a **perpetuum mobile of the second kind**.
- The second law of thermodynamic forbids this process.

# Heat Transfer

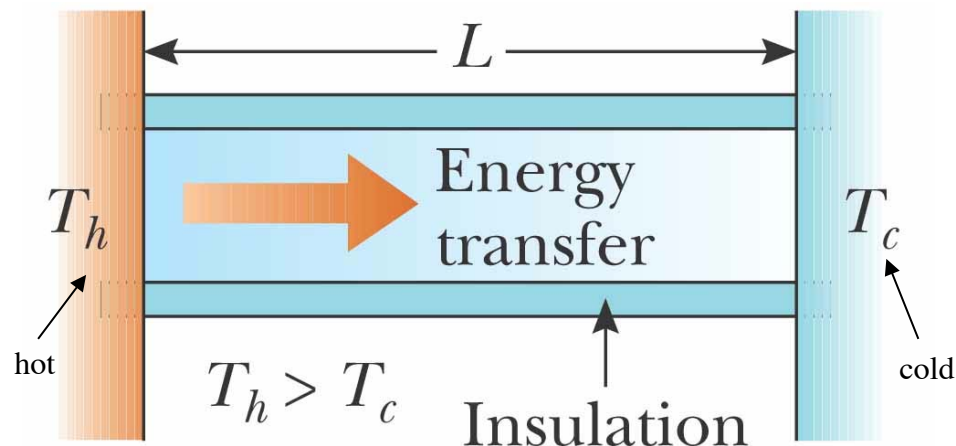
- We want to know the rate at which energy is transferred between systems
- There are various mechanisms responsible for the transfer:
  - (1) **Conduction** (vibrating atoms transfer energy to adjacent atoms)
  - (2) **Convection** (bulk fluid/gas motion)
  - (3) **Radiation** (electromagnetic waves, photons)
- Usually  $(1) > (2) > (3)$  when all 3 modes are applicable

# Conduction

- The transfer can be viewed on an atomic scale
  - It is an exchange of energy between microscopic particles by collision. The microscopic particles can be atoms, molecules or free electrons
  - Less energetic particles gain energy during collisions with more energetic particles
- Rate of conduction depends upon the characteristics of the substance
- Particles near the heat source move with larger amplitudes. These collide adjacent with molecules and transfer some energy. Eventually, the energy travels entirely through the pan.



- In general, metals are good thermal conductors
  - They contain large numbers of electrons that are relatively free to move through the metal
  - They can transport energy fast from one region to another
- Poor conductors include asbestos, paper, and gases
- Conduction occurs only if there is a difference in temperature between two parts of the conducting medium
- Heat transfer through a conducting rod



Temperature gradient

$$\left| \frac{dT}{dx} \right| = \frac{T_h - T_c}{L}$$

- The slab at right allows energy to transfer from the region of higher temperature to the region of lower temperature
- The rate of heat transfer is given by:

$$\dot{\mathcal{Q}} = \frac{Q}{\Delta t}$$

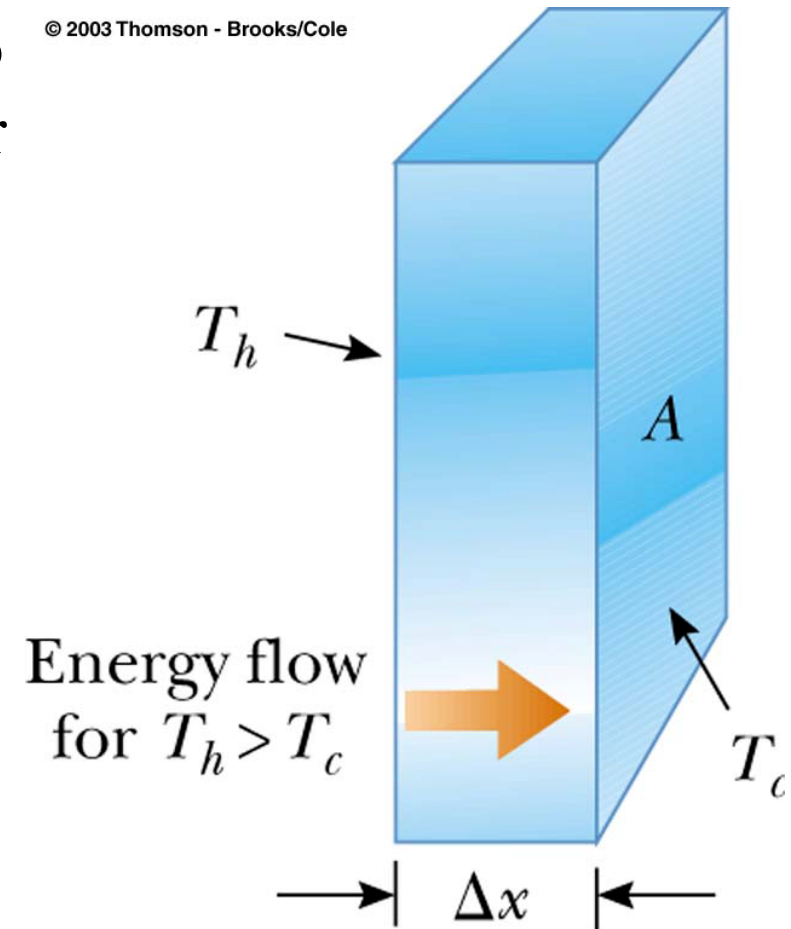
- Since  $\dot{\mathcal{Q}} \propto A$  and  $\dot{\mathcal{Q}} \propto dT / dx$

we have

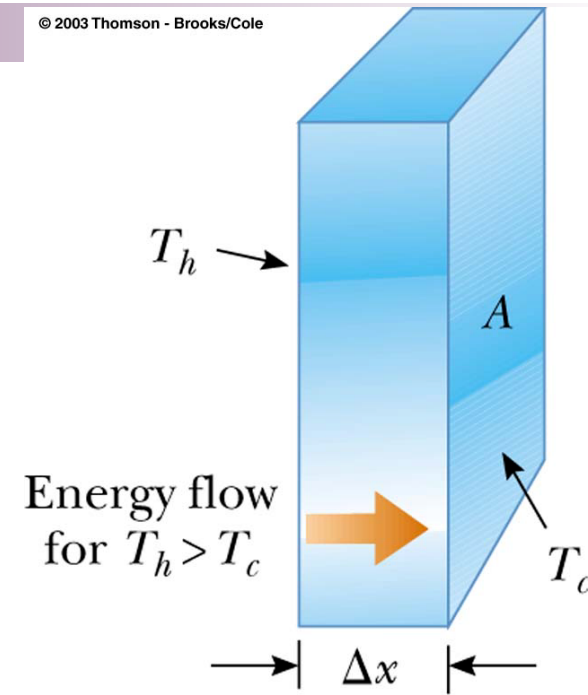
$$\dot{\mathcal{Q}} = \frac{Q}{\Delta t} = kA \left| \frac{dT}{dx} \right|$$

$k$ : thermal conductivity ( $\text{J s}^{-1} \text{m}^{-1} \text{K}^{-1} = \text{W m}^{-1} \text{K}^{-1}$ )


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- $A$  is the cross-sectional area
- $\Delta x$  is the thickness of the slab
  - or the length of a rod
- $\mathcal{P}$  is in Watts when  $Q$  is in Joules and  $t$  is in seconds
- $k$  is the **thermal conductivity** of the material
  - Good conductors have high  $k$  values and good insulators have low  $k$  values
- Using the temperature gradient for the rod, the rate of energy transfer becomes:



$$\mathcal{P} = kA \left( \frac{T_h - T_c}{L} \right)$$

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- For a compound slab containing several materials of various thicknesses ( $L_1, L_2, \dots$ ) and various thermal conductivities ( $k_1, k_2, \dots$ ) the rate of energy transfer depends on the materials and the temperatures at the outer edges:

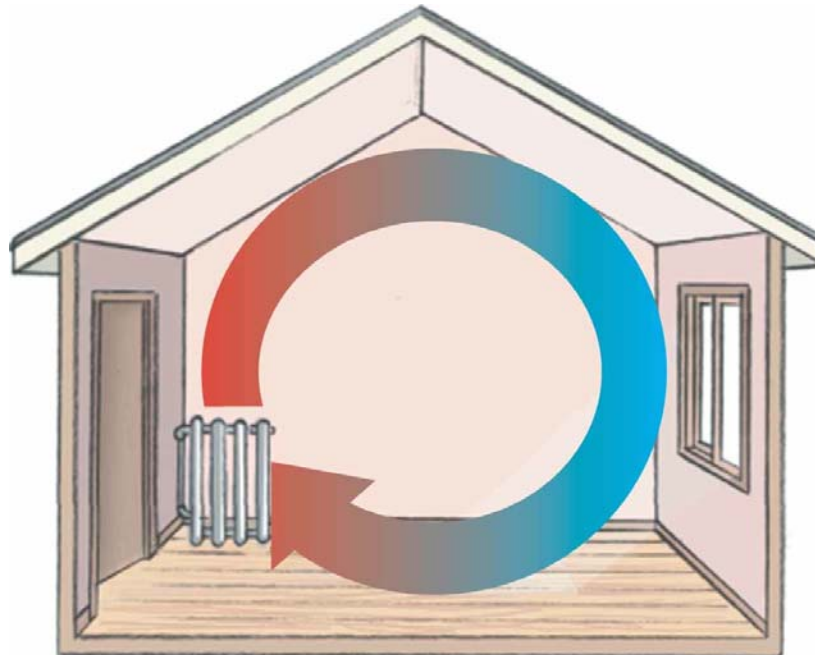
$$\phi = \frac{A(T_h - T_c)}{\sum_i (L_i / k_i)}$$

- Home Insulation: substances are rated by their  $R$  values (good insulator  $\rightarrow$  large  $R$  value)
  - $R = L / k$  and the rate becomes 
$$\phi = \frac{A(T_h - T_c)}{\sum_i R_i}$$
  - For multiple layers, the total  $R$  value is the sum of the  $R$  values of each layer

<b>Substance</b>	<b>Thermal Conductivity in W/m °C</b>	<b>Substance</b>	<b>Thermal Conductivity in W/m °C</b>
<i>Metals (at 25°C)</i>		<i>Nonmetals (approximate values)</i>	
Aluminium	238	Asbestos	0.08
Copper	397	Concrete	0.8
Gold	314	Diamond	2300
Iron	79.5	Glass	0.8
Lead	34.7	Ice	2
Silver	427	Rubber	0.2
<i>Gases (at 20°C)</i>		Water	0.6
Air	0.0234	Wood	0.08
Helium	0.138		
Hydrogen	0.172		
Nitrogen	0.0234		
Oxygen	0.0238		

# Convection

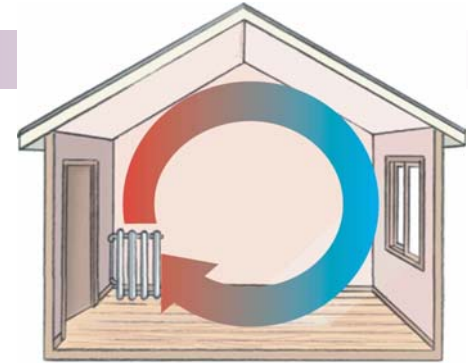
- Energy transferred by the movement of a substance
  - When the movement results from differences in density, it is called *natural convection* (diffusion)
  - When the movement is forced by a fan or a pump, it is called *forced convection*



- Air directly above the radiator is warmed and expands
- The density of the air decreases, and it rises
- A continuous air current is established
- The rate of energy transfer through an area  $A$  by natural convection becomes:

$$\dot{Q} = hA\Delta T$$

- $h$  is called the **convection coefficient**
- Improve efficiency by forced convection or by increasing the area  $A$






# Radiation

- Radiation does not require physical contact
- All objects radiate energy continuously in the form of electromagnetic waves due to thermal vibrations of their molecules
- Rate of radiation is given by **Stefan's law**

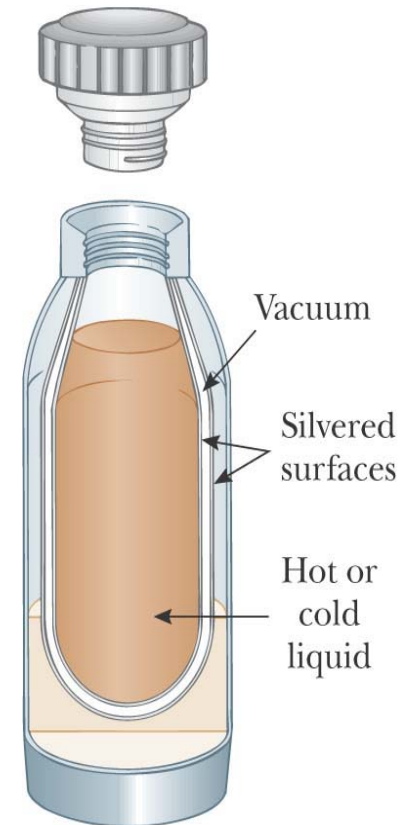
$$\mathcal{P} = \sigma A e T^4$$

- $\mathcal{P}$  is the rate of energy transfer, in Watts
- $\sigma = 5.6696 \times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$  (Stefan-Boltzmann constant)
- $A$  is the surface area of the object,  $T$  is the temperature in K
- $e$  is a constant called the **emissivity** ( $e$  varies from 0 to 1)
  - The emissivity is also equal to the **absorptivity**

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- With its surroundings, the rate at which the object at temperature  $T$  with surroundings at  $T_0$  radiates is
    - $\mathcal{P}_{net} = \sigma Ae(T^4 - T_0^4)$
    - When an object is in equilibrium with its surroundings, it radiates and absorbs at the same rate (its temperature will not change)
  - An **ideal absorber** is defined as an object that absorbs all of the energy incident on it:  $e = 1$ 
    - This type of object is called a **black body**
    - An ideal absorber is also an ideal radiator of energy
  - An ideal reflector absorbs none of the energy incident on it:  $e = 0$

# The Dewar Flask

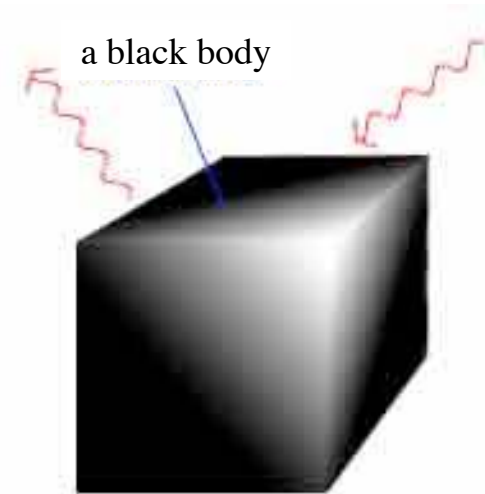
- A Dewar flask is a container designed to minimize the energy loss by conduction, convection, or radiation
- It is used to store either cold or hot liquids for long periods of time
  - A thermos bottle is a common household equivalent of a Dewar flask
- The space between the walls is a vacuum to minimize energy transfer by conduction and convection. The silvered surface minimizes energy transfer by radiation
- The size of the neck is reduced to further minimize energy losses



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# Black Body Radiation

- All material can emit and absorb light at specific wavelengths.
- An idealized case is the so-called **black body**, which can absorb or emit light equally well.
- The sun  $\lambda_{max} = 500$  nm corresponding to yellow light and to a surface temperature of around  $T = 5,800$  K. In comparison, our earth emits light with  $\lambda_{max} = 10$   $\mu\text{m}$  corresponding to a surface temperature of 288 K.



$$E = h\nu = h \frac{c}{\lambda}$$

